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~~SEARCHED~~~~INDEXED~~~~PATENT~~**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s) : Takashi OHSAKI  
Serial No. : 09/615,134  
File Date : July 13, 2000  
Title : CARBONACEOUS NANOTUBE,....  
Art Unit : 1771  
Docket No. : M1873-21

Examiner:

16222  
OCT 25 2000  
TECH CENTER 2700  
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**CERTIFICATE UNDER 37 CFR 1.8(a)**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Hon. Assistant Commissioner for Patents, Box: Missing Parts, Washington D.C. 20231 on:

Date : Oct 2 2000  
By : Margaret L. Goldstein  
Signature : *M. Goldstein*

Hon. Assistant Commissioner for Patents  
Box: Missing Parts  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

In response to the Notice to File Missing Parts of Application dated August 30, 2000, enclosed herewith please find the following:

- \* Declaration and Power of Attorney;
- \* Certified copy of priority document as follows: Japanese Application No. 11-198731, dated July 13, 1999;
- \* Part 2 - Copy of Notice to File Missing Parts of Nonprovisional Application;
- \* Check for \$840 to cover the large entity filing fee (\$710), and late filed Declaration surcharge (\$130).

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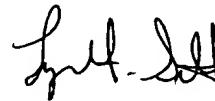
OCT 26 2000

TECHNOLOGY CENTER 2800

Please charge any deficiencies or credit any overpayments to our Deposit Account No.  
13-4550.

It is submitted that the enclosed documents satisfy all outstanding filing requirements in  
this application. A Filing Receipt is respectfully requested.

Respectfully Submitted,



Lyman H. Smith  
Reg. No. 44,342  
Agent for Applicant

**MORRISON LAW FIRM**  
145 North Fifth Avenue  
Mount Vernon, New York 10550  
(914) 667-6755



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/615,104	07/13/2000	Takashi Ohsaki	M1873-21

Morrison Law Firm  
 145 North Fifth Avenue  
 Mt Vernon, NY 10550



## FORMALITIES LETTER



\*OC000000005365121\*

Date Mailed: 08/30/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

10/06/2000 SSESHE1 00000000 09615104

- The balance due by applicant is \$ 820.

01 FC:101	710.00	00
02 FC:105	120.00	00

*A copy of this notice **MUST** be returned with the reply.*

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OCT 26 2000

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH THE RESPONSE

Valid Date: 10/06/2000 SSESHE1  
 10/06/2000 SSESHE1 00000000 09615104  
 01 FC:101  
 02 FC:105  
 03 FC:100

-690.00 00  
 -120.00 00  
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